

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

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Harrington

SERIAL NO.:

10/005,609

FILING DATE:

November 7, 2001

TITLE:

Global Electronic Trading System

EXAMINER:

Alain L. Bashore

GROUP ART UNIT:

1762

CONFIRMATION NO.:

9713

ATTY, DKT, NO.:

44422593-6028

CERTIFICATE OF FIRST CLASS MAIL

I hereby certify that this Comments on Statement of Reasons for Allowance is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Mail Stop Issue Fee**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

venbu 28,2005

Edward J. Radlo, Reg. No. V26,79

MAIL STOP ISSUE FEE COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

Applicants hereby acknowledge the Examiner's Statement of Reasons for Allowance mailed August 29, 2006. Applicants agree that claimed subject matter is patentably distinct from the documents cited during the prosecution of this patent application. However, Applicants take no position regarding the reasons for allowance

presented by the Examiner, other than positions Applicants may have previously taken during prosecution of this application. Therefore, the Examiner's reasons for allowance should not be attributed to Applicants as an indication of the basis for Applicants' belief that claims are patentably distinct. Furthermore, it is respectfully submitted that there may also be additional reasons for patentability of claimed subject matter not explicitly stated in this record. While in accordance with 37 C.F.R. §1.104(e), a failure by Applicants to disagree with the Examiner, or file more detailed comments, does not give rise to any implication that Applicants agree with or acquiesce in the reasoning of the Examiner. Here, by this document, Applicants are expressly making clear that no such agreement or acquiescence is present.

With respect to claims 1, 3, 4, 5, and 7, in their Amendment G mailed February 13, 2006, Applicants intentionally deleted the words "all of" before the words "the current limits set by the flow limit means", because these words are not necessary to form patentable claims in view of the prior art, including Silverman U.S. patent 5,924,083, anything Applicants may have said with respect to Silverman during the prosecution of this application to the contrary notwithstanding.

Applicants reserve the right to file one or more continuing applications. Anything Applicants said with respect to any claim during the prosecution of this application will not necessarily apply to the claims that will be included in said continuing applications.

> Respectfully submitted, Walls Nov-28, 2006

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enclosures

A. Burnett (w/encls.) CC:

IP/T docket CH (w/encls.)